



Docket No. END0797USNP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Jeffrey D. Messerly, et al.

Serial No.

10/659,416

Art Unit: 3731

Filed

September 10, 2003

Examiner: Not Assigned

For

ULTRASONIC SURGICAL INSTRUMENT INCORPORATING FLUID

**MANAGEMENT** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on

December 2, 2005	
(Date of Deposit)	
Verne E. Kreger, Jr.	
(Name of applicant, person signing, assignee, or Registered Represo	entative)
Neme E. Kreger, Jr., Reg. #35231/	
Verne E. Kreger, Jr.	
(Signature)	
December 2, 2005	
(Date of Signature)	

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be

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This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

CALSC.
In accordance with §1.97(b), since this Information Disclosure Statement is
being filed either within three months of the filing date of the above-identified national
application (other than a continued prosecution application under §1.53(d)), within three months
of the date of entry into the national stage of the above identified application as set forth in
§1.491, or before the mailing date of a first Office Action on the merits of the above-identified
application, or before the mailing date of a first Office Action after the filing of a request for
continued examination under §1.114, no additional fee is required.
In accordance with §1.129(a), this Information Disclosure Statement is being
filed in connection with _ the first or _ second After Final Submission, therefore:
Statement in Accordance with \$1.97(e) (attached); or
Please charge Deposit Account No. 10-0750/END0797USNP/VEK the
fee of \$180.00 as set forth in \$1.17(p).
In accordance with §1.97(c), this Information Disclosure Statement is being
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with §1.97(e)	as set fo	orth below and the fee of \$180.00 as set forth in \$1.17(p).				
$\boxtimes$	Copie	s of only foreign patent documents and non-patent literature are enclosed				
in accordance	with 37	7 CFR 1.98 (a)(2).				
	Copies of references listed on the attached Form PTO-1449 are enclosed					
herewith EXC	EPT T	HAT:				
		The cases cited in US Application No. XX/XXX,XXX to which this case				
		claims priority are not enclosed.				
		If any of the foregoing publications are not available to the Examiner,				
		Applicant will endeavor to supply copies at the Examiner's request.				
$\boxtimes$	There	are no listed references which are not in the English language.				
	The re	elevance of those listed references which are not in the English language is				
as follows:						
$\boxtimes$	Attach	ned are copies of search report(s) from corresponding patent application(s),				
which are liste	ed on th	e attached Submission Under MPEP 609 D.				
	Attach	ned are the following non-published pending patent applications which				
may be deeme	ed releva	ant, which are listed on the attached Submission Under MPEP 609 D.				
Please	charge	any deficiency or credit any overpayment to Deposit Account No. 10-				
0750/END079	7USNI	P/VEK.				
	•	Respectfully submitted,				
		<u>(Verne E. Kreger, Jr., Reg. #35231/</u> Verne E. Kreger, Jr.				
		Verne E. Kreger, Jr. Reg. No. 35,231				
		Attorney for Applicants				
Johnson & Jo One Johnson		son Plaza				

consideration of this Information Disclosure Statement. Included are: Statement in Accordance

New Brunswick, NJ 08933-7003

DATED: December 2, 2005

(513) 337-3535

Approved for use through 10/31/2002. OMB 0651-0031

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Application Number 10/659.416

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

a collection of information unless it displays a valid OMB control number.				
Application Number	10/659,416			
Filing Date	September 10, 2003			
First Named Inventor	Jeffrey D. Messerly			
Group Art Unit	Not Assigned			
Examiner Name	Not Assigned			
Attorney Docket Number	END0797USNP			

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>		ind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,275,607	Α	Lo et al.	01-04-1994	ALL
					T	

#### **FOREIGN PATENT DOCUMENTS** Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or Examiner Cite passages or relevant T<sup>6</sup> mm-dd-yyyy **Applicant of Cited Document** Initials figures appear Number<sup>4</sup> KindCode5 No.1

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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## SUBMISSION UNDER MPEP 609 D

Application Number	10/659,416
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First Named Inventor	Jeffrey D. Messerly
Group Art Unit	3731
Examiner Name	Not Assigned
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Page 1 of 1

		U.S. PA	TENT DOCUMENTS		
			U.S. Patent Docum	nent	
Examiner Cite Initials No.1	Name of Patentee or Applicant of Cited Document	Number	Kind Code <sup>2</sup> (if known)	Pages, Columns, Lines, where relevant passages or relevant figures appear	
	<u> </u>				
		NA.			
			DATENT DOCUMEN		

FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, where relevant passages Foreign Patent Document Name of Patentee or Examiner Cite or relevant figures **Applicant of Cited Document** Office<sup>3</sup> Number<sup>4</sup> KindCode<sup>5</sup> Initials appear ALL OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), T² Cite No.1 title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Examiner Initials\* Written Opinion dated November 3, 2005 for corresponding patent application, International Patent Application No. PCT/US03/29474 (Atty. Docket END0797).

Examiner		Date	
Signature		Considered	